

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Alan R. Tall  
Serial No.: 09/560,372  
Filed : April 28, 2000  
For : HUMAN ABC1 PROMOTER AND ASSAYS BASED THEREON

1185 Avenue of the Americas  
New York, New York 10036  
May 11, 2000

Assistant Commissioner for Patents  
Box Assignments  
Washington, D.C. 20231

Sir:

**TRANSMITTAL OF VERIFIED STATEMENT  
(DECLARATION) CLAIMING SMALL ENTITY STATUS  
UNDER 37 C.F.R. §1.9(f) AND §1.27(d) AND REQUEST FOR REFUND**

A filing fee of \$1,212.00 was originally paid in connection with the filing of the above-identified patent application on April 28, 2000. The corresponding fee for a small entity is \$606.00. The deadline for making a request for refund is two months after the April 28, 2000 filing date, i.e., June 28, 2000. All rights in the United States under this application are either assigned or licensed to a small entity and this request is being timely made. A refund in the amount of \$606.00 is hereby requested pursuant to 37 C.F.R. §(a). This refund should be credited to Deposit Account No. 03-3125 under Docket No. 0575/61766.

In support of this request for a refund, applicants submit herewith a Verified Statement (Declaration) Claiming Small Entity Status under 37 C.F.R. §1.9(f) and §1.27(d) signed by Mr. Jack M. Granowitz. The Verified Statement is signed by an authorized official of the entity who has rights in the subject application, namely, The Trustees of Columbia University in the City of New York.

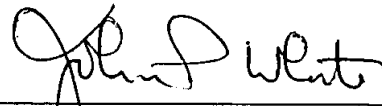
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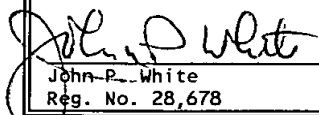
No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account 03-3125.

Respectfully submitted,



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I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

 5/1/00  
John P. White Date  
Reg. No. 28,678